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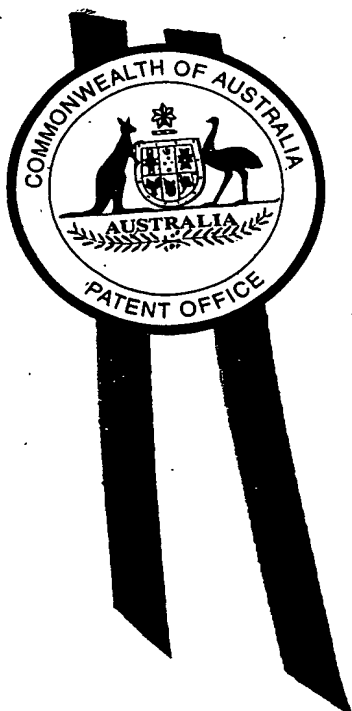
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I, LEANNE MYNOTT, MANAGER EXAMINATION SUPPORT AND  
SALES hereby certify that annexed is a true copy of the Provisional specification  
in connection with Application No. 2003901812 for a patent by VITAL  
HEALTH SCIENCES PTY LTD as filed on 15 April 2003.



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Twenty-eighth day of April 2004

LEANNE MYNOTT  
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**PROVISIONAL SPECIFICATION**

**Invention title:      Phosphates of secondary alcohols**

**The invention is described in the following statement:**

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## Phosphates of secondary alcohols

### Field of the invention

The invention relates to phosphate derivatives of pharmaceutical compounds having a secondary alcohol group. More particularly, the invention relates to phosphate and  
5 phosphate complex derivatives of venlafaxine (CAS 93413-69-5), pravastatin (CAS 81093-37-0) and atorvastatin (CAS 134523-00-5).

### Background of the invention

In this specification, where a document, act or item of knowledge is referred to or discussed, this reference or discussion is not an admission that the document, act or item  
10 of knowledge or any combination thereof was at the priority date:

- (a) part of common general knowledge; or
- (b) known to be relevant to an attempt to solve any problem with which this specification is concerned.

Whilst the following discussion relates to phosphate and phosphate complex derivatives  
15 of venlafaxine, pravastatin and atorvastatin, it will be understood that the invention has applications to other pharmaceuticals having secondary alcohol groups where improved water solubility, tissue penetration, lymphatic transport or decreased first pass metabolism may be desired. Furthermore, whilst the following discussion emphasises pharmaceuticals having antidepressant and cholesterol lowering characteristics, it will be  
20 understood that the invention is not so limited but includes pharmaceuticals having other characteristics.

### Hypercholesterolaemic drugs – pravastatin and atorvastatin

The association between high total serum cholesterol and an increased risk of cardiovascular disease has been known for decades. The risk of cardiovascular disease  
25 increases with increasing levels of serum total cholesterol, increasing levels of serum LDL cholesterol and decreasing levels of serum HDL cholesterol.

Methods of lowering serum cholesterol vary from dietary management through to surgical removal of bowel loops and various pharmaceutical approaches. Although dietary and lifestyle management will always remain first line treatment, pharmaceutical intervention  
30 is often needed to achieve clinically significant reduction in elevated serum cholesterol. The largest therapeutic group to be marketed for reduction of serum cholesterol are competitive inhibitors of HMG-CoA reductase (3-hydroxy-3-methylglutaryl-coenzyme A) or

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the "statins". These drugs specifically inhibit the liver enzyme HMG-CoA reductase which responsible for converting HMG-CoA to mevalonate. This conversion is the rate-limiting step in de-novo synthesis of cholesterol in the body. Approximately 90% of the body's cholesterol is manufactured via this pathway so HMG-CoA reductase inhibitors are very effective agents and reduce serum cholesterol more significantly than previous therapies.

Statins are structural analogues of HMG-CoA reductase and work by inhibiting this enzyme responsible for catalysing the rate-limiting step in the biosynthesis of cholesterol. The first drug in this class - compactin was developed in the early 1980's and was soon followed by lovastatin, atorvastatin, fluvastatin, pravastatin and simvastatin. Production of cholesterol in the liver follows a diurnal pattern, with the majority being produced during the night. For this reason, most statins are administered to a patient at night so that they are active during the period of greatest cholesterol synthesis. Lovastatin and simvastatin are inactive pro-drugs that are hydrolysed in the gastrointestinal tract to active beta-hydroxyl derivatives. Atorvastatin, pravastatin and fluvastatin are active drugs as given.

Pravastatin is rapidly absorbed into the blood after oral administration. Plasma concentrations are proportional to the dose administered and elimination half-life is between 1.5 to 2 hours. Although peak plasma levels are attained after about an hour and a half with absorption ranges from 30 to 50%, absolute bioavailability is only around 17% due to a very high first pass metabolism. Therefore, an improved formulation would decrease the amount lost through first pass metabolism. Pravastatin (81093-37-0) and its sodium salt (81131-70-6) are the only forms currently known.

Similarly, atorvastatin is rapidly absorbed with maximal plasma concentrations occurring approximately 2 hours after administration, however the absolute bioavailability is only 14%. The low systemic bioavailability is due to gastrointestinal mucosal clearance and/or high hepatic first pass metabolism. Again, an improved formulation would have an increased absolute bioavailability. Atorvastatin is currently used in its free acid form (CAS 134523-00-5) and its calcium salt (CAS 134523-03-8).

The drugs provide their maximum benefit when they reach the liver. However, absorption and activity of the drug is often impeded by:

- (a) liver tissue uptake,
- (b) low elimination half life values, and
- (c) low levels of accumulation of the drug in the liver.

### Antidepressant – venlafaxine

Depression is one of the most common psychiatric disorders, reported to affect at any given moment up to 5 - 6% of the population. Symptoms of depression are often vague or subtle and manifestation is sometimes unrecognisable both by patients and physicians.

- 5 After the introduction of reserpine in the 1950's for treatment of hypertension, it became apparent that one of the drug's side effects was depression. Pharmacologic studies of this effect revealed that reserpine inhibited the storage of amine neurotransmitters serotonin and norepinephrine (adrenalin) in vesicles of the presynaptic nerve endings. It was therefore concluded that depression must involve depletion or decreased function of
- 10 amine dependant synaptic transmission. This simple syllogism provided what was known as the amine hypothesis of depression. The first antidepressants introduced were monoamine oxidase inhibitors (MAOI) and shortly after their release tricyclic antidepressants (originally derived from an antihistamine) were launched. Treatment of depression has therefore undergone many changes over time as further discoveries about
- 15 the biochemistry of depression have been made.

Venlafaxine was approved for use as an antidepressant at the end of 1993. It is an opiate derivative which appears to inhibit re-uptake of noradrenaline, serotonin and dopamine – thus increasing their levels and reducing symptoms of depression. The compound therefore acts to potentiate neurotransmitter activity in the central nervous system (CNS).

- 20 In theory it combines all the known modes of antidepressant action but has little effect on cholinergic, histaminergic or adrenergic receptors and therefore causes fewer side effects commonly associated with other antidepressants.

- The commercially produced drug, venlafaxine hydrochloride, is a racemate. The R-enantiomer is a more potent inhibitor of noradrenaline uptake and the S-enantiomer is a
- 25 more potent inhibitor of serotonin uptake. Both however are more potent on blocking serotonin uptake than noradrenaline reuptake.

- Venlafaxine is well absorbed orally but has a short half-life and is subject to extensive first pass metabolism. The major metabolite of venlafaxine is O-desmethylvenlafaxine which is equally potent as venlafaxine. An extended release formulation has therefore become
- 30 available to allow once daily dosing. Mean peak plasma concentrations following single 25 to 150 mg doses, range from approximately 33 to 175 ng/ml reached in approximately 2.4 hours. Half-life of venlafaxine is calculated to be 5 hours and O-desmethylvenlafaxine is 11 hours.

Venlafaxine and its metabolites are primarily excreted via the kidneys with approximately 85% being recovered in the urine 48 hours after dosage as unchanged drug, O-desmethyivenlafaxine or conjugated O-desmethyivenlafaxine. Administration with food slightly delays peak plasma concentration but does not influence formation of O-desmethyivenlafaxine.

Although pravastatin sodium, atorvastatin calcium and venlafaxine hydrochloride are well absorbed, these drugs suffer high first pass metabolism which decreases their absolute bioavailability. Accordingly there exists a need for an improved pharmaceutically acceptable formulation which is less prone to loss through first pass metabolism as compared with pharmaceuticals of the prior art having secondary alcohol groups.

#### Summary of the invention

In particular, it was found that secondary alcohols are readily phosphorylated in the presence of sodium salts of fatty acids and  $P_4O_{10}$ . Previous methods to phosphorylate these secondary alcohols had the disadvantage of a significant degree of dehydration of the secondary alcohol to a double bond.

According to a first aspect of the invention, there is provided a pharmaceutical compound having improved bioavailability comprising one or more phosphate derivatives of one or more compounds selected from the group consisting of pravastatin, atorvastatin or venlafaxine.

Without wishing to be bound by theory, it is thought that when venlafaxine phosphate, atorvastatin phosphate or pravastatin phosphate are administered as complexed analogues, the drugs will primarily enter the lymphatic system reducing the extent of loss due to first pass metabolism. The formation of complex analogues, or pro-drug strategy, significantly increases tissue penetration and bioavailability reducing the amount of drug needed for therapeutic efficacy and enables use of the new compounds in extended release or chronic delivery systems.

In a preferred embodiment, further reaction of the phosphates with a di or mono acyl glyceride forms phosphatides that are also bioactive.

According to a second aspect of the invention, there is provided a delivery system for pravastatin, atorvastatin or venlafaxine, comprising the reaction product of:

- (a) one or more phosphate derivatives of one or more compounds selected from the group consisting of pravastatin, atorvastatin and venlafaxine; and

- (b) a complexing agent selected from the group comprising amphoteric surfactants, cationic surfactants, amino acids having nitrogen functional groups and proteins rich in these amino acids.

Where used herein the term "phosphate derivatives" refers to compounds covalently bound by means of an oxygen to the phosphorus atom of a phosphate group. The phosphate derivative may exist in the form of a free phosphate acid, a salt thereof, a diphosphate ester thereby including two compound molecules, a mixed ester including two different compounds, a phosphatidyl compound wherein the free phosphate oxygen forms a bond with an alkyl or substituted alkyl group.

- Suitable complexing agents for use in the present invention may be selected from surfactants chosen from the classes including imino compounds, alkyl amino/amido betaines, sultaines, phosphobetaines, phosphitaines, imidazolium and straight chain mono and dicarboxy ampholytes, quaternary ammonium salts, and cationic alkoxylated mono and di-fatty amines; and amino acids having nitrogen functional groups and proteins rich in these amino acids. Preferred complexing agents N-lauryl imino dipropionate and arginine.

Suitable amino acids having nitrogen functional groups for use in the present invention include glycine, arginine, lysine and histidine. Proteins rich in these amino acids may also be used as complexing agents, for example, casein. These complexing agents are used when the composition needs to be orally ingestible.

The amphoteric surfactants may be ampholytic surfactants, that is, they may exhibit a pronounced isoelectric point within a specific pH range; or zwitterionic surfactants, that is, they are cationic over the entire pH range and do not usually exhibit a pronounced isoelectric point. Examples of these amphoteric surfactants are tertiary substituted amines, such as those according to the following formula:



wherein  $R^1$  is chosen from the group comprising straight or branched chain mixed alkyl radicals from C6 to C22 or carbonyl derivatives thereof.

- $R^2$  and  $R^3$  are independently chosen from the group comprising H,  $CH_2COOX$ ,  $CH_2CHOHCH_2SO_3X$ ,  $CH_2CHOHCH_2OPO_3X$ ,  $CH_2CH_2COOX$ ,  $CH_2COOX$ ,  $CH_2CH_2CHOHCH_2SO_3X$  or  $CH_2CH_2CHOHCH_2OPO_3X$  and X is H, Na, K or alkanolamine provided that  $R^2$  and  $R^3$  are not both H.

In addition, when  $R^1$  is RCO then  $R^2$  may be  $CH_3$  and  $R^3$  may be  $(CH_2CH_2)_N(C_2H_4OH)-H_2CHOPO_3$  or  $R^2$  and  $R^3$  together may be  $N(CH_2)_2N(C_2H_4OH)CH_2COO-$ .

Commercial examples are DERIPHAT sold by Henkel/Cognis, DEHYTON sold by Henkel/Cognis, TEGOBETAINE sold by Goldschmidt and MIRANOL sold by Rhone Poulenc.

Cationic surfactants, such as quaternary ammonium compounds, will also form complexes with phosphorylated derivatives of drug hydroxy compounds such as tocopheryl phosphates. Examples of cationic surfactants include the following:

- (a)  $RN^+(CH_3)_3 Cl^-$
- (b)  $[R_2N^+CH_3]_2 SO_4^{2-}$
- (c)  $[RCON(CH_3)CH_2CH_2CH_2N^+(CH_3)_2C_2H_4OH]_2 SO_4^{2-}$
- (d) Ethomeens:  $RN[(CH_2CH_2O)_x CH_2OH][(CH_2CH_2O)_y CH_2OH]$  wherein x and y are integers from 1 to 50.

wherein R is C8 to C22 straight or branched chain alkyl groups or mixed alkyl groups.

Silicone surfactants including hydrophilic and hydrophobic functionality may also be used, for example, dimethicone PG betaine, amodimethicone or trimethylsilylamodimethicone. For example, ABILE 9950 from Goldschmidt Chemical Co. The hydrophobe can be a C6 to C22 straight or branched alkyl or mixed alkyl including fluoroalkyl, fluorosilicone and or mixtures thereof. The hydrophilic portion can be an alkali metal, alkaline earth or alkanolamine salts of carboxy alkyl groups or sulfoxy alkyl groups, that is sultaines, phosphitaines or phosphobetaines or mixtures thereof.

Typically, the reaction product of the delivery system of the present invention is made by (1) direct neutralization of the free phosphoric acid ester of the pravastatin or venlafaxine with the complexing agents or (2) in-situ blending of mixed sodium salts of the phosphate derivatives of pravastatin or venlafaxine with the complexing agents.



The delivery system according to the invention when used in a suitable route of administration (oral, transmucosal, intranasal, transdermal, intravenous or combinations thereof) may provide various benefits including:

- 5 (a) improved water solubility eliminating need for dissolution in lipidic vehicles and side effects associated with these compounds;
- (b) delivery of the compound primarily to the lymphatic system reducing the extent of first pass metabolism;
- (c) increased liver tissue specificity leading to a higher accumulation in liver tissue with a longer elimination half-life;
- 10 (d) increased systemic bioavailability following dermal delivery;
- (e) potential use as a chronic delivery system because of improved dermal penetration and smoother absorption kinetics leading to a lower side effect profile;
- (f) potential use as an enteric coated transfer protein complex;
- 15 (g) potential use as an active domain attachment; and
- (h) increased bioavailability in the CNS reducing the amount of drug needed for therapeutic efficacy.

#### Examples

The invention will now be further explained and illustrated by reference to the following non-limiting examples.

#### Example 1

25 The free acid of atorvastatin 55.8 g (0.1M) and 37.2 g of sodium valerate (0.3M) were dissolved in 100 ml toluene. 12.6 g (0.05M) of  $P_4O_{10}$  was added and mixed with high shear mixing for one hour slowly raising the temperature to 80°C. 10 ml of water was added and the high sheer mixing continued for a further hour at 60°C. 100 ml of a 0.1M sodium carbonate solution was added and the mixture gently stirred then centrifuged and the process repeated. The toluene phase was recovered and washed with 100 ml of 0.1M hydrochloric acid. The toluene phase was recovered and the toluene and valeric acid removed under vacuum to give the phosphoric ester of atorvastatin ([R-(R\*,R\*)]-2-(4-

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fluorophenyl)- $\beta$ -phosphono- $\delta$ -hydroxy-5-(1-methylethyl)-3-phenyl-4-[(phenylamino)carbonyl]-1*H*-pyrrole-1-heptanoic acid).

#### Example 2

- 5 The free acid of pravastatin 42.5 g (0.1M) and 37.2 g of sodium valerate (0.3M) were dissolved in 100 ml toluene. 12.6 g (0.05M) of  $P_4O_{10}$  was added and mixed with high shear mixing for one hour slowly raising the temperature to 80°C. 10 ml of water was added and the high shear mixing continued for a further hour at 60°C. 100 ml of a 0.1M sodium carbonate solution was added and the mixture gently stirred then centrifuged and the process repeated. The toluene phase was recovered and washed with 100 ml of 0.1M
- 10 hydrochloric acid. The toluene phase was recovered and the toluene and valeric acid removed under vacuum to give the phosphoric ester of pravastatin ([1S-[1 $\alpha$ ( $\beta$ S\*, $\delta$ S\*),2 $\alpha$ ,6 $\alpha$ ,8 $\beta$ (R\*),8 $\alpha\alpha$ ]]-1,2,6,7,8,8a-hexahydro- $\beta$ -phosphono- $\delta$ ,6-dihydroxy-2-methyl-8-(2-methyl-1-oxobutoxy)-1-naphthleneheptanoic acid).

#### Example 3

- 15 The free acid of venlafaxine 27.7 g (0.1M) and 37.2 g of sodium valerate (0.3M) were dissolved in 100 ml toluene. 12.6 g (0.05M) of  $P_4O_{10}$  was added and mixed with high shear mixing for one hour slowly raising the temperature to 80°C. 10 ml of water was added and the high shear mixing continued for a further hour at 60°C. 100 ml of a 0.1M sodium carbonate solution was added and the mixture gently stirred then centrifuged and
- 20 the process repeated. The toluene phase was recovered and washed with 100 ml of 0.1M hydrochloric acid. The toluene phase was recovered and the toluene and valeric acid removed under vacuum to give the phosphoric ester of venlafaxine (1-[-(dimethylamino)-1-(4-methoxyphenyl)ethyl]cyclohexyl dihydrogen phosphate).

#### Example 4

- 25 The free acid of atorvastatin 55.8g (0.1M) and 37.2g of sodium valerate (0.3M) were dissolved in 100 ml toluene. 12.6 g (0.05M) of  $P_4O_{10}$  was added and mixed with high shear mixing for one hour slowly raising the temperature to 80°C. 1,2-distearoyl glycerol 30 g was added and the high shear mixing continued for a further hour at 60°C. 100 ml of a 0.5M sodium hydroxide solution was added and the mixture gently stirred then
- 30 centrifuged and the process repeated. The toluene phase was recovered and washed with 100 ml of 0.1M hydrochloric acid. The toluene phase was recovered and the toluene and valeric acid removed under vacuum to give 1,2-distearoyl phosphatidyl atorvastatin.

Pravastatin phosphate was recovered from the aqueous phases.

**Example 5**

The free acid of pravastatin 42.5 g (0.1M) and 37.2 g of sodium valerate (0.3M) were dissolved in 100 ml toluene. 12.6 g (0.05M) of  $P_4O_{10}$  was added and mixed with high shear mixing for one hour slowly raising the temperature to 80°C. 1,2-distearoyl glycerol 30 g was added and the high sheer mixing continued for a further hour at 60°C. 100 ml of a 0.5M sodium hydroxide solution was added and the mixture gently stirred then centrifuged and the process repeated. The toluene phase was recovered and washed with 100 ml of 0.1M hydrochloric acid. The toluene phase was recovered and the toluene and valeric acid removed under vacuum to give 1,2-distearoyl phosphatidyl pravastatin.

Pravastatin phosphate was recovered from the aqueous phases.

**Example 6**

The free acid of venlafaxine 27.7 g (0.1M) and 37.2 g of sodium valerate (0.3M) were dissolved in 100 ml toluene. 12.6 g (0.05M) of  $P_4O_{10}$  was added and mixed with high shear mixing for one hour slowly raising the temperature to 80°C. 1,2-distearoyl glycerol 30 g was added and the high sheer mixing continued for a further hour at 60°C. 100 ml of a 0.5M sodium hydroxide solution was added and the mixture gently stirred then centrifuged and the process repeated. The toluene phase was recovered and washed with 100 ml of 0.1M hydrochloric acid. The toluene phase was recovered and the toluene and valeric acid removed under vacuum to give 1,2-distearoyl phosphatidyl venlafaxine.

Venlafaxine phosphate was recovered from the aqueous phases.

**Example 7**

50.45 (0.1M) of the phosphoric acid ester of pravastatin was mixed with 40.4 (0.1M) of lauryl-imino-dipropionate in 200 ml of water (equimolar proportions). The mixture was mixed thoroughly with good agitation. The final pH was adjusted as desired using small amounts of either component. The mixture was then freeze dried to give the solid complex as a powder (32-33% active).

**Example 8**

50.45g (0.1M) of the phosphoric acid ester of pravastatin was mixed with 17.4g (0.1M) of arginine in 200 ml of water (equimolar proportions). The mixture was mixed thoroughly

with good agitation. The final pH was adjusted as desired using small amounts of either component. The mixture was then freeze dried to give the solid complex as a powder.

**Example 9**

- 5 88.4 g (0.1M) of venlafaxine phosphatide was mixed with 40.4 g (0.1M) of lauryl-imino-dipropionate in 200 ml of water (equimolar proportions). The mixture was mixed thoroughly with good agitation. The final pH was adjusted as desired using small amounts of either component. The mixture was then freeze dried to give the solid phosphatidyl venlafaxine deriphat complex as a powder.

**Example 10**

- 10 88.4 g (0.1M) of Venlafaxine phosphatide was mixed with 17.4 g (0.1M) of arginine in 200 ml of water (equimolar proportions). The mixture was mixed thoroughly with good agitation. The final pH was adjusted as desired using small amounts of either component. The mixture was then freeze dried to give the solid phosphatidyl venlafaxine arginine complex as a powder.
- 15 The word 'comprising' and forms of the word 'comprising' as used in this description does not limit the invention claimed to exclude any variants or additions.

Modifications and improvements to the invention will be readily apparent to those skilled in the art. Such modifications and improvements are intended to be within the scope of this invention.

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Vital Health Sciences Pty Ltd

15 April, 2003

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